SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287							
Estimated average burden								
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>MEYERCORD EDWARD</u>						2. Issuer Name and Ticker or Trading Symbol <u>EXTREME NETWORKS INC</u> [EXTR]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last) (First) (Middle) 6480 VIA DEL ORO						3. Date of Earliest Transaction (Month/Day/Year) 01/28/2021							X Officer (give title Other (specify below) BRESIDENT AND CEO				
(Street) SAN JOSE CA 95119 (City) (State) (Zip)					4. If . 	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indiv Line) X	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																	
1. Title of Security (Instr. 3) 2. Transact Date (Month/Day				Execution Date,		3. Transaction Code (Instr. 8) 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				i 5)		es ally Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
						Code	v	Amount	(A) or (D)	Price		Reported Transaction(s) (Instr. 3 and 4)			(Instr. 4)		
Common Stock				01/28/2021			М		38,031	Α	\$2	\$2.51		587,136			
Common Stock				01/28/2021			S		38,031(1)	D	\$8.10	B.1069 ⁽²⁾ 54),105	D		
Common Stock 0				02/01/2021			М		25,000	Α	\$2	2.51 57		,105	D		
Common Stock 02/01/20				/2021		S		25,000 ⁽¹⁾	D	\$8.75	542 ⁽²⁾	549,105		D			
			Table I			Securities Acq calls, warrants							wned				
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date, T Security or Exercise (Month/Day/Year) if any C		4. Transact Code (In 8)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour of Securities Underlying Derivative Security (Instr. 3 and 4)			3. Price of Derivative Security Instr. 5)	9. Number derivative Securities Beneficial Owned Following Reported Transactio (Instr. 4)	ly Direct (D or Indirec (I) (Instr.	Beneficial Ownership ct (Instr. 4)				

Non-Qualified Stock Option

(right to buy) Non-Qualified Stock

Option

(right to

Explanation of Responses:

\$2.51

\$2 51

1. Transaction made pursuant to a duly adopted 10b5-1 trading plan.

01/28/2021

02/01/2021

2. The sale price represents the weighted average sale price per share. The Company can provide the full information regarding the number of shares sold at each separate price upon further request. 3. This Performance Option Grant vested monthly over 2 years from the date of Grant and was achieved after the Company's Common Stock closing price exceeded \$3.50 for 30 consecutive trading days on December 11, 2017.

Date Exercisable

12/11/2017⁽³⁾

12/11/2017⁽³⁾

Expiration Date

05/08/2022

05/08/2022

Title

Common

Stock

Commor

Stock

/s/ Katy Motiey, Power of Attorney for Ed Meyercord

02/01/2021

Date

175,000

150,000

D

D

** Signature of Reporting Person

Amount or Number of Shares

38,031

25,000

\$<mark>0</mark>

\$<mark>0</mark>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v

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(A) (D)

38,031

25 000

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.