# SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL 3235-0287 OMB Number: Estimated average burden hours per response: 0.5

| 1. Name and Add                | 1 0  |                 | 2. Issuer Name and Ticker or Trading Symbol<br>EXTREME NETWORKS INC [ EXTR ] |                        | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable) |                                       |  |  |  |  |
|--------------------------------|--|-----------------|--|------------------------|--|---------------------------------------|--|--|--|--|
| (Last)                         | IEYERCORD EDWARD<br>ast) (First) (Middle)<br>180 VIA DEL ORO |                 | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/23/2019               |                        | Director<br>Officer (give title<br>below)<br>PRESIDENT A                   | 10% Owner<br>Other (specify<br>below) |  |  |  |  |
| (Street)<br>SAN JOSE<br>(City) | (Street)<br>SAN JOSE CA 95119                                |                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     | 6. Indiv<br>Line)<br>X | , ,  |                                       |  |  |  |  |
|                                |  | Table I - Non-D | erivative Securities Acquired, Disposed of, or Ben                           | eficially              | Owned  |                                       |  |  |  |  |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Instr. |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |        | Securities                         | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---------------------------------|--|---|--------------|---|---|---------------|--------|------------------------------------|---|---|--|
|                                 |  |   | Code         | v | Amount  | (A) or<br>(D) | Price  | Transaction(s)<br>(Instr. 3 and 4) |   | (1130.4)  |  |
| Common Stock                    | 02/23/2019                                 | 02/23/2019  | М            |   | 12,219  | A             | \$0.0  | 536,523                            | D   |   |  |
| Common Stock                    | 02/23/2019                                 | 02/23/2019  | F            |   | <b>3,831</b> <sup>(1)</sup>   | D             | \$8.43 | 532,692                            | D   |   |  |

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | n of 🛛 |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | Derivative<br>Security | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--------|--------|--|--------------------|---|--|------------------------|--|--|--|
|   |   |  |   | Code                         | v | (A)    | (D)    | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                        |  |  |  |
| RSU<br>Award  | \$0.0   | 02/23/2019                                 |   | М                            |   |        | 12,219 | 08/23/2018 <sup>(2)</sup>                                      | 08/23/2020         | Common<br>Stock   | 12,219                                 | \$0.0 <sup>(3)</sup>   | 73,313   | D  |  |

### **Explanation of Responses:**

1. Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.

2. This time based RSU award vests as to 1/3 on 8/23/2018 and 1/12 each quarter thereafter.

3. This is not an applicable reportable field for this type of grant.

## Quentin Wright, Power of <u>Attorney</u>

02/26/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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