## SEC Form 4

# FORM 4

UNITED S	STATES	SECURI	<b>TIES</b>	AND	EXCHAN	GE C	OMMIS	SION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Add	1 0	Person*	2. Issuer Name and Ticker or Trading Symbol EXTREME NETWORKS INC [ EXTR ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Gault Robert J</u>				Director 10% Owner					
				Officer (give title Other (specify					
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	below) below)					
	( )	(inidato)	08/15/2019	Chief Revenue Officer					
6480 VIA DE	L ORO								
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable					
(Street)				Line)					
SAN JOSE	CA	95119		X Form filed by One Reporting Person					
(City) (State) (Zip)				Form filed by More than One Reporting					
		(Zip)		Person					
	(State)	( <u></u> -ib)							

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D) Price		Transaction(s) (Instr. 3 and 4)		(1130.4)
Common Stock	08/15/2019		М		6,670	A	\$ <mark>0</mark>	237,029	D	
Common Stock	08/15/2019		F		2,092(1)	D	\$7.4	234,937	D	
Common Stock	08/15/2019		М		8,338	A	\$ <mark>0</mark>	243,275	D	
Common Stock	08/15/2019		F		2,614 <sup>(3)</sup>	D	\$7.4	240,661	D	
Common Stock	08/15/2019		М		6,670	A	\$ <mark>0</mark>	247,331	D	
Common Stock	08/15/2019		F		2,092(6)	D	\$7.4	245,239	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)		Transaction of Code (Instr. Derivative		6. Date Exerci Expiration Dat (Month/Day/Ye	te	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Restricted Stock Units	\$0	08/15/2019		М			6,670	11/15/2017 <sup>(2)</sup>	08/15/2019	Common stock	6,670	\$0 <sup>(5)</sup>	0	D	
Restricted Stock Units	\$0	08/15/2019		М			8,338	02/15/2018 <sup>(4)</sup>	02/15/2020	Common stock	8,338	\$0 <sup>(5)</sup>	16,675	D	
Performance Shares	\$0	08/15/2019		М			6,670	11/15/2017 <sup>(7)</sup>	08/15/2019	Common stock	6,670	\$0 <sup>(5)</sup>	0	D	

#### Explanation of Responses:

1. Represents shares withheld from the August 15, 2016 RSU award for the payment of applicable income and payroll withholding taxes due on release.

2. This Time Based RSU award vests from the original grant date as to 1/3 on the one year anniversary and 1/12 each quarter thereafter.

3. Represents shares withheld from the February 8, 2017 RSU award for the payment of applicable income and payroll withholding taxes due on release.

4. This Time Based RSU award vests as to 1/3 on February 15, 2018 and 1/12 each quarter thereafter subject to continued service at the company through the vesting dates.

5. This is not an applicable reportable field for this type of grant.

6. Represents shares withheld from the August 15, 2016 PSU award for the payment of applicable income and payroll withholding taxes due on release.

7. Performance grants were earned when EXTR shares reached \$5.00 per share for 30 consecutive trading days after January 1st 2017. Earned shares vest as to 1/3 on the one year anniversary date and 1/12 each quarter thereafter.

<u>/s/ Quentin Wright, Attorney-</u> <u>in-Fact</u> 08/19/2019

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.