SEC Form 4

FORM 4

□ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934
or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person[*]	2. Issuer Name **and** Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)
Shoemaker John C	EXTREME NETWORKS INC [EXTR]	X Director 10% Owner

(Last) (First) (Middle)

6480 VIA DEL ORO

3. Date of Earliest Transaction (Month/Day/Year)
11/09/2017

	Officer (give title below)	Other (specify below)

(Street)

SAN JOSE   CA   95119

4. If Amendment, Date of Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)

X Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	11/09/2017	11/09/2017	M		15,000	A	$3.87	275,748	D	
Common Stock	11/09/2017	11/09/2017	M		15,000	A	$1.85	290,748	D	
Common Stock	11/09/2017	11/09/2017	M		26,258	A	$0.0	317,006	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Non-Qualified Stock Option (right to buy)	$1.85	11/09/2017	11/09/2017	M			15,000	12/04/2009	12/04/2018	Common Stock	15,000	$0.0	0	D	
Non-Qualified Stock Option (right to buy)	$3.87	11/09/2017	11/09/2017	M			15,000	04/26/2013	04/26/2019	Common Stock	15,000	$0.0	0	D	
RSU Award	$0.0	11/09/2017	11/09/2017[(1)]	M			26,258	11/09/2017	11/18/2017	Common Stock	26,258	$0.0[(2)]	0	D	
RSU Award	$0.0	11/09/2017	11/09/2017	A		14,873		11/09/2018[(3)]	11/09/2018	Common Stock	14,873	$0.0	14,873	D	

Explanation of Responses:

1. This restricted stock unit vested on November 9th, 2017, the same date our Annual Meeting was held.

2. This is not an applicable reportable field for this type of grant.

3. Grant of restricted stock units pursuant to the Extreme Networks, Inc. 2013 Equity Incentive Plan. Vests in full one year after the date of grant.

Quentin Wright, Power of Attorney   11/13/2017

** Signature of Reporting Person   Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, *see* Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.