SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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1. Name and Add	lress of Reporting Itthew Howa		2. Issuer Name and Ticker or Trading Symbol <u>EXTREME NETWORKS INC</u> [EXTR]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					Director	10% Owner				
(Loot)	(Firot)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	— X	Officer (give title below)	Other (specify below)				
(Last) (First) (Middle) 6480 VIA DEL ORO		(Middle)	11/15/2018		VP OF FP AND A					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Fili	ng (Check Applicable				
SAN JOSE	CA	95119		X	Form filed by One Re	porting Person				
(City)	(State)	(Zip)	—		Form filed by More th Person	an One Reporting				

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150. 4)
Common Stock	11/15/2018	11/15/2018	F		408(1)	D	\$6.46	10,629	D	
Common Stock	11/15/2018	11/15/2018	F		408(2)	D	\$6.46	10,221	D	
Common Stock	11/15/2018	11/15/2018	М		1,313	A	\$0.0	11,534	D	
Common Stock	11/15/2018	11/15/2018	М		1,313	A	\$0.0	12,847	D	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, ca	lls, warrants, options,	convertible securities)
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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)	S. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Performance Shares	\$0.0	11/15/2018	11/15/2018	м			1,313	08/29/2017 ⁽³⁾	08/29/2019	Common Stock	1,313	\$0.0 ⁽⁴⁾	3,940	D	
RSU Award	\$0.0	11/15/2018	11/15/2018	М			1,313	08/29/2017 ⁽⁵⁾	08/29/2019	Common Stock	1,313	\$0.0 ⁽⁴⁾	3,940	D	

Explanation of Responses:

1. Represents shares withheld from the RSU award for the payment of applicable income and payroll withholding taxes due on release.

2. Represents shares withheld from the Performance Based RSU award for the payment of applicable income and payroll withholding taxes due on release.

3. Performance grants were earned when EXTR shares reached \$5.00 per share for 30 consecutive trading days after January 1st 2017. Earned shares vest as to 1/3 on the one year anniversary date and 1/12 each quarter thereafter.

4. This is not an applicable reportable field for this type of grant.

5. This Time Based RSU award vests 1/3 on 8/29/2017 and 1/12 each quarter thereafter.

Quentin Wright, Power of

<u>Attorney</u>

11/19/2018

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.