# SEC Form 4

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

| OMB Number:          | 3235-0287 |  |  |  |  |
|----------------------|-----------|--|--|--|--|
| Estimated average bu | rden      |  |  |  |  |
| hours per response:  | 0.5       |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*<br>MEYERCORD EDWARD                     |                    |                |                            | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>EXTREME NETWORKS INC</u> [ EXTR ] |                                      |         |  |  |   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner                                      |   |               |  |  |  |
|--|--------------------|----------------|----------------------------|---|--------------------------------------|---------|--|--|---|---|---|---------------|--|--|--|
| (Last)<br>2121 RDU CENT  | (First)<br>TER DR. | (Middle)       |                            | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/15/2023                          |                                      |         |  |  |   | Officer (give title below)  |   | (specify<br>) |  |  |  |
| (Street)<br>MORRISVILLE<br>(City)  | NC<br>(State)      | 27560<br>(Zip) | 4. If An                   | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                |                                      |         |  |  |   | vidual or Joint/Group Filing (Check Applicable<br>Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person |   |               |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                    |                |                            |   |                                      |         |  |  |   |   |   |               |  |  |  |
| Da   |                    | Date           | ansaction<br>hth/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)                             | 3.<br>Transa<br>Code (<br>8)<br>Code | (Instr. |  |  | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)   | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |               |  |  |  |
|  |                    |                |                            |   |                                      |         |  |  |   |   |   | <u> </u>      |  |  |  |

### Common Stock 02/15/2023 31.960 871,976(1) М Α \$<mark>0</mark> D Common Stock 02/15/2023 F 12.577(2) D \$19.08 859.399 D Common Stock 02/15/2023 Μ 26,055 Α \$<mark>0</mark> 885,454 D Common Stock 02/15/2023 F 10,253(2) D \$19.08 875,201 D Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

|   |   |  |   |                              | · | · .  |  |   |                    |   |  |   |  |  |  |
|---|---|--|---|------------------------------|---|--|--|---|--------------------|---|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of<br>Deri<br>Sec<br>Acq<br>(A) o<br>Disp<br>of (I | umber<br>vative<br>urities<br>uired<br>or<br>oosed<br>D) (Instr.<br>and 5) | 6. Date Exerci<br>Expiration Dat<br>(Month/Day/Ye | e                  | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D)  | Date<br>Exercisable                               | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Restricted<br>Stock<br>Units                        | \$0   | 02/15/2023                                 |   | М                            |   |  | 31,960   | 08/15/2021 <sup>(3)</sup>                         | 08/15/2023         | Common<br>Stock   | 31,960                                 | \$0   | 63,921   | D  |  |
| Restricted<br>Stock<br>Units                        | \$0   | 02/15/2023                                 |   | М                            |   |  | 26,055   | 08/15/2021 <sup>(3)</sup>                         | 08/15/2023         | Common<br>Stock   | 26,055                                 | \$0   | 156,328  | D  |  |

Explanation of Responses:

1. An additional 1,294 shares are included in this total, reflecting non-reportable purchase of 1,294 shares in connection with the Company's Employee Stock Purchase Plan (ESPP).

2. Represents shares withheld from the released share award for the payment of applicable income and payroll withholding taxes due on release.

3. This Time-based RSU award vests from the original grant date as to 1/3 on the one year anniversary and 1/12 each quarter thereafter.

<u>/s/ Katayoun "Katy" Motiey,</u> Power of Attorney for Ed

Meyercord

02/17/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.